



The effects of developmental alcohol exposure on the neurobiology of spatial processing

Ryan E. Harvey, Laura E. Berkowitz, Derek A. Hamilton, Benjamin J. Clark*

Department of Psychology, University of New Mexico, Albuquerque, NM, United States



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ABSTRACT

The consumption of alcohol during gestation is detrimental to the developing central nervous system. One functional outcome of this exposure is impaired spatial processing, defined as sensing and integrating information pertaining to spatial navigation and spatial memory. The hippocampus, entorhinal cortex, and anterior thalamus are brain regions implicated in spatial processing and are highly susceptible to the effects of developmental alcohol exposure. Some of the observed effects of alcohol on spatial processing may be attributed to changes at the synaptic to circuit level. In this review, we first describe the impact of developmental alcohol exposure on spatial behavior followed by a summary of the development of brain areas involved in spatial processing. We then provide an examination of the consequences of prenatal and early postnatal alcohol exposure in rodents on hippocampal, anterior thalamus, and entorhinal cortex-dependent spatial processing from the cellular to behavioral level. We conclude by highlighting several unanswered questions which may provide a framework for future investigation.

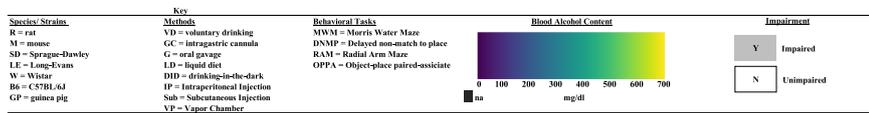
1. Introduction

Alcohol is a known teratogen, that can freely cross the placenta, resulting in widespread damage and alterations to many systems in the developing embryo, including the nervous system (Guerra and Sanchis, 1985). Alcohol use during pregnancy has been directly linked to many adverse outcomes: spontaneous abortions (Henriksen et al., 2004), stillbirth (Kesmodel et al., 2002), premature birth (Albertsen et al., 2004; Kesmodel et al., 2000; Patra et al., 2011), intrauterine growth retardation (Patra et al., 2011; Yang et al., 2001), low birthweight (O'Callaghan et al., 2003; Patra et al., 2011), and cognitive impairments (discussed in Marquardt and Brigman, 2016). Fetal alcohol spectrum disorder (FASD) is the general term that encompasses all adverse effects associated with prenatal alcohol exposure. While there is an increasing societal awareness that alcohol consumption during pregnancy can lead to numerous adverse outcomes, prenatal alcohol exposure remains one of the most common developmental insults (Day et al., 2002; Green et al., 2009; Thomas et al., 1998). Using data collected from the National Birth Defects Prevention Study, Ethen et al. (2009) estimated that during 1997 to 2002 one-third of women in the US consumed alcohol during pregnancy and 5–10% reported incidents of binge drinking defined as 4 or more standard drinks on one occasion. More recent evidence estimates that globally 9.8% of women consume

alcohol while pregnant, and one out of every 67 women who consumed alcohol during pregnancy delivers a child with fetal alcohol syndrome (FAS) (Popova et al., 2017), which is the most severe and debilitating form of FASD (Chudley, 2005; Cook et al., 2016; Riley et al., 2011). Further, there is a growing body of evidence that suggests even moderate alcohol consumption during pregnancy, which is the most prevalent and underestimated alcohol consumption during pregnancy, can also lead to lifelong cognitive impairments such as working memory, behavioral flexibility, and deficits in episodic memory (Green et al., 2009; Mattson et al., 1999; Streissguth et al., 1991).

One of the most consistent behavioral and cognitive manifestations of developmental alcohol exposure in humans and in rodent models, even when the exposure is in moderate amounts, is deficits in spatial processing (see Fig. 1) (Hamilton et al., 2003; Marquardt and Brigman, 2016; Sutherland et al., 1997, 2000; Uecker and Nadel, 1996). For the purposes of this review, spatial processing is defined as sensing and integrating information pertaining to spatial navigation, or guided locomotion to and from locations within familiar or unfamiliar environments, as well as the ability to recognize previously learned spatial locations. The neurobiological basis of spatial processing has been linked to the hippocampal formation as well as the limbic thalamus (Fig. 2) (Bliss and Collingridge, 1993; Cullen and Taube, 2017; Epstein et al., 2017; Hardcastle et al., 2017; Lisman et al., 2017; Morris et al.,

* Corresponding author at: Department of Psychology, University of New Mexico, Albuquerque, NM 87131, NM, United States.
E-mail address: bnjclark@unm.edu (B.J. Clark).



The effects of developmental alcohol exposure on spatial learning and memory tasks

Reference	Species/Strain	Method	Dose (BAC-mg/dl)	Exposure	Trimester of Exposure			Testing Age	Tasks	Impaired	Results	Effect
					1st	2nd	3rd					
Perinatal												
Kleiber et al. 2011	M/B6	VD	16g/kg (80-120)	EAP10				P90-P62	Barnes Maze	Y	↑ Latency, 2 days of training removed impairment	
Prenatal												
Savage et al. 2002 grp. 1	R/SD	LD	2% v/v (7)	G1-G21				P180-P220	MWM	N	No Effect	
Clanning et al. 1995 grp. 1	R/SD	LD	6.47 g/kg (38.7)	G1-G20				P68-P90	Complex Maze	Y	↑ errors	
Cullen et al. 2014	R/SD	LD	6% v/v (30)	G1-E22/23				P270, 540	Y-maze MWM	N	no effect	
Savage et al. 2002 grp. 2	R/SD	LD	3% v/v (30)	G1-G21				P180-P220	MWM	Y	↓ learning on moving platform	
Reyes et al. 1989 grp. 1	R/SD	LD	10.8 g/kg (40)	G1-G20				P60	RAM	Y	↑ trials to reach criterion	
Sanchez et al. 2019	R/LE	LD	2.14 g/kg (66)	G1-G20				P126-P202	OPPA	Y	↑ errors	
Savage et al. 2002 grp. 3	R/SD	LD	5% v/v (83)	G1-G21				P180-P220	MWM	Y	↓ learning on moving platform	
Hamilton et al. 2014	R/LE	DD	5% v/v (84)	G1-G20				P135-P215	MWM	N	Slight perseveration	
Rodriguez et al. 2016	R/LE	LD	2.1 g/kg (84)	G1-G20				P135-P215	MWM	N	Slight perseveration in males	
Savage et al. 2010	R/LE	DD	2.82 g/kg (84)	G1-G20				P130-160	MWM	Y	Impaired retention, rescued by histamine H3 receptor antagonist	
Brady et al. 2012	M/B6	DD	7.17 g/kg (88)	G1-G20				P90-150	DNMP	Y	Impaired on difficult	
Sutherland et al. 2000	R/SD	LD	26% EDC (100)	G1-20				P100-200	MWM	N	More likely to use cue over place navigation	
Clanning et al. 1995 grp. 2	R/SD	LD	13.1 g/kg (126.2)	G1-G20				P68-P90	Complex Maze	N	no effect	
Kim et al. 1997	R/SD	LD	10.8 g/kg (155)	G1-G22				P490-P510	MWM	Y	↑ latency	
Reyes et al. 1989 grp. 2	R/SD	LD	16.8 g/kg (170)	G1-G20				P60	RAM	Y	↑ trials to reach criterion	
Christie et al. 2005 Exp. 1	R/SD	VD	10.47 g/kg (184)	G1-G21				P60-64	MWM	Y	Impaired, exercise removes impairment	
Iqbal et al. 2004	GP	G	4 g/kg (280)	G2-G67				P35-53	MWM	Y	↑ Latency	
An & Zhang 2013 Exp. 1	R/W	G	4g/kg (na)	G1-G21				P36-P44	MWM	Y	↑ latency	
Giamanakis 1990	R/SD	LD	6.5-14 g/kg (na)	G1-G20				P40,60,90	MWM	Y	↑ latency	
Shyter et al. 2005	M/B6	LD	12% v/v (na)	G1-G20				P90-P120	RAM	N	no effect	
Lee & Rahe 1999	R/LE	LD	17.35% EDC (na)	G1-G21				P60	T-maze reversal	Y	impaired, rescued by early life handling	
Sanchez Vega et al. 2013	M/B6	VD	16g/kg (120)	G1-G49				P78-66	MWM	Y	↑ latency, ↑ target preference	
Riley et al. 1979 grp. 1	R/LE	LD	6.66 g/kg (na)	G5-G20				P20-P21	T-maze alternation	N	no effect	
Riley et al. 1979 grp. 2	R/LE	LD	12.96 g/kg (na)	G5-G20				P20-P21	T-maze alternation	Y	↑ trials & errors in high dose	
Zimmerberg et al. 1989	R/LE	LD	21.41 g/kg (na)	G5-G20				P168-203	Alternation task	Y	↑ errors, ↑ correct trials, rescued with visual cues	
Zimmerberg et al. 1991	R/LE	LD	21.41 g/kg (na)	G6-G20				P75-P100	Water T-maze	Y	↑ preference errors in both sexes, ↑ working memory errors in males	
Blanchard et al. 1987	R/LE	LD	35% EDC (na)	G6-G20				P22-P27	MWM	Y	↑ latency; Females more effected	
Wainwright et al. 1990	M/B6/DF	LD	6.25-25% EDC (225)	G6-G18				P46	Water T-maze	Y	Impaired reversal learning	
Dursan et al. 2006	R/W	G	6p/kg (350)	G7-G20				P80-P147	MWM	N	no effect	
Hall et al. 1994	R/SD	LD	15% EDC 10.5 g/kg (na)	G7-G13				P26-90	RAM	Y	Initially impaired, training removed impairment	
Matthews et al. 1998 grp. 1	R/LE	G	3 g/kg (120)	G8-G20				P86-P95	MWM	N	no effect	
Matthews et al. 1998 grp. 2	R/LE	G	5 g/kg (180)	G8-G20				P86-P95	MWM	Y	Impairment following delay period	
O'Leary-Moore et al. 2006 Grp. 1	R/SD	G	4g/kg (210)	G8-G20				P28 & 63	T-water maze	N	no effect	
O'Leary-Moore et al. 2006 Grp. 2	R/SD	G	6p/kg (293)	G8-G20				P28 & 63	T-water maze	N	no effect	
Mantha et al. 2013 Grp. 1	M/B6	GC	5 g/kg (200)	G8-G11				P50-66	Barnes Maze	Y	↑ latency, ↑ perseveration with long duration	
Summers et al. 2008	M/B6	IP	25% v/v (500)	G8				P60-P105	Cross-maze escape task	Y	↑ correct trials, ↑ latency, Zn-supplement rescued impairments	
Dumas & Rahe 1994	M/B6	G	5.8g/kg (700)	G9				P90, 360, 720	Plus-water maze	Y	Impaired retention	
Osborne et al. 1979	R/SD	GC	8 g/kg (239)	G10-G14				P66-P71	Y-maze avoidance	N	↑ avoidance & correct discriminations	
Mantha et al. 2013 Grp. 2	M/B6	GC	5 g/kg (200)	G14-G16				P50-66	Barnes Maze	Y	↑ latency, ↑ mixed search strategies, ↑ perseveration	
Postnatal												
Zink et al. 2011	R/W	VP	(176)	P1-P8				P150-P156	T-maze	N	no effect	
Zink et al. 2011	R/W	VP	(176)	P1-P8				P150-P154	MWM	N	↑ perseveration	
Kelly et al. 1988	R/SD	GC	6.6 g/kg (311)	P4-P10				P90-P112	MWM	Y	Females impaired, Males unimpaired	
Goodlett et al. 1987	R/SD	GC	6.6 g/kg (407)	P4-P10				P19-P30	MWM	Y	↑ latency, path length, initial heading angle, trials to criterion, thigmotaxis behavior	
Goodlett & Johnson 1997 grp. 3	R/SD	G	5.25g/kg (265)	P4-P9				P26-31	MWM	Y	Place learning deficits	
O'Leary-Moore et al. 2006 Grp. 3	R/SD	G	5g/kg (320)	P4-P9				P28, 63	T-water maze	Y	impaired reversal learning at P28	
Thomas et al. 2007	R/SD	G	6 g/kg (344)	P4-P9				P145-P152	MWM	Y	↑ path length & latency in females, rescued by choline supplementation	
Thomas 2004	R/SD	G	6.6g/kg (372-410)	P4-P9				P40-42	T-maze	Y	↑ errors on day one, rescued by day two or by perinatal choline supplementation	
Thomas et al. 1996 grp.3	R/SD	GC	6.6 g/kg (496)	P4-P9/4				P16-P18	T-maze	Y	↓ acquisition rate, ↑ errors	
Goodlett & Johnson 1997 grp. 1	R/SD	G	5.25g/kg (265)	P4-P6				P26-31	MWM	N	no effect	
Mantha et al. 2013 Grp. 3	M/B6	GC	5 g/kg (200)	P4-P7				P50-66	Barnes Maze	Y	↑ latency, ↑ mixed search strategies, ↑ perseveration	
Thomas et al. 1996 grp. 1	R/SD	GC	6.6 g/kg (408)	P4-P5				P16-P18	T-maze	N	No Effect	
Girard et al. 2000	R/LE	GC	6.5g/kg (301)	P5-P9				P35, 105, 180	DMTP MWM	Y	impaired on long delays	
Girard & Wainwright 2002	R/LE	GC	6.5 g/kg (328)	P6-P9				P270-284	MWM	Y	↑ swim distance & impaired after delay	
Thomas et al. 1997	R/SD	GC	6 g/kg (307)	P6				P40	Water T-maze	Y	Impaired discrimination, reversal; ↑ perseveration, attenuated by blocking NMDA receptors	
Goodlett & Johnson 1997 grp. 2	R/SD	G	4.5 g/kg (250)	P7-P9				P26-31	MWM	Y	Place learning deficits	
Goodlett & Johnson 1997 grp. 3	R/SD	G	5.25g/kg (265)	P7-P9				P26-31	MWM	Y	Place learning deficits	
Wagner et al. 2014 grp. 2	W/B6	Sub	5g/kg (472)	P7-P9				P70-P79, P70-P79	MWM	Y	↑ path length & target preference in adults	
Wagner et al. 2014 grp. 1	W/B6	Sub	5g/kg (472)	P7				P30-P39, P70-P79	MWM	Y	↑ path length & target preference in adults	
Wozniak et al. 2004 Exp. 1	M/B6	Sub	5g/kg (500)	P7				P28, P150, P70-P79	MWM	Y	Impairment at 1 month, attenuate in adults	
Wozniak et al. 2004 Exp. 2	M/B6	Sub	5g/kg (500)	P7				P150	RAM	Y	↑ days to criterion, males worse than females	
Thomas et al. 1996 grp. 2	R/SD	GC	6.6 g/kg (383)	P8-P9				P16-P18	T-maze	Y	↑ errors	

Fig. 1. The effects of developmental alcohol exposure on spatial navigation and memory tasks. Results of studies which utilized behavioral spatial navigation and memory tasks after exposing animals to alcohol prenatally or postnatally. Each row represents a different study or a different component of a study in the case of multiple groups or experiments. Rows are organized by exposure period throughout the three trimesters (color bars indicate exposure duration and dose) and the dose of alcohol in terms of blood alcohol content (each group of identical exposure periods is organized by dose). The color map illustrates the alcohol dose (dark = low; bright = high). Dose indicates the concentration of alcohol given with blood alcohol concentration (BAC) in parentheses in mg/dl when reported; (na) when not reported. In the “Results” columns, “Impaired” represents if the animals were impaired at completing the task. Experiments showing impairments are also indicated by gray shading. It’s important to note that, in some studies, behavior may have been different between the groups (different MWT probe behavior) yet performance (latency, errors, etc.) may have been identical. In those situations, the “Impaired” column would read “N” as each group was able to perform the task at similar levels. This table was adapted and expanded from (Marquardt and Brigman, 2016).

1982; Moser et al., 2017; O’Keefe and Nadel, 1978; Olton et al., 1979; Schmidt-Hieber and Nolan, 2017; Squire, 1992). This linkage is due in large part to the discovery of hippocampal-limbic neurons that fire

action potentials correlated with the animal’s spatial behavior (Fig. 3). The hippocampus, which has received the most considerable attention with respect to the developmental impact of alcohol on cognition,

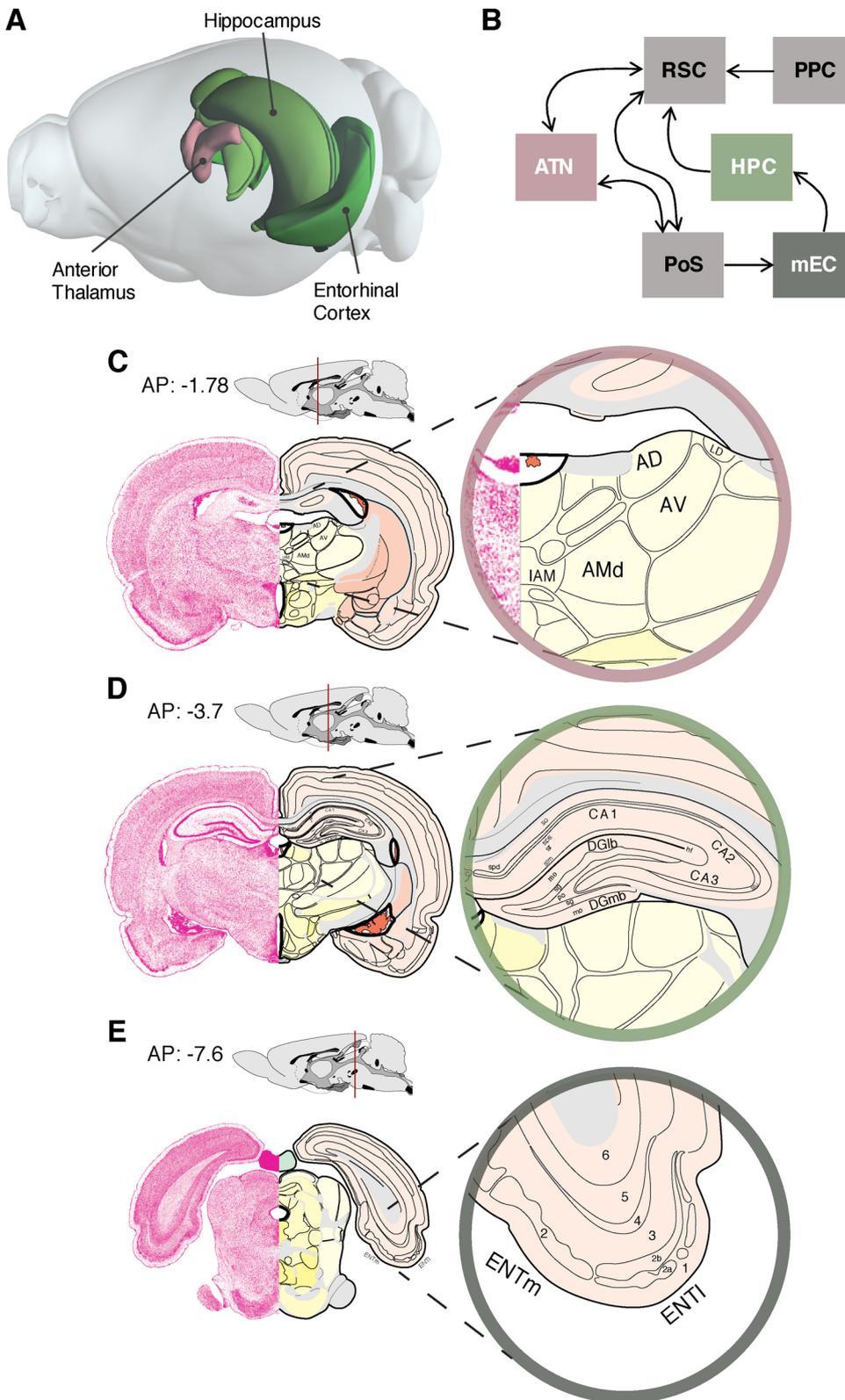


Fig. 2. A. Locations of major regions involved in spatial navigation and memory are represented in a 3D animation of a mouse brain; Hippocampus, Anterior Thalamus, and Entorhinal Cortex. © 2015 Allen Institute for Brain Science. Allen Brain Atlas API. Available from: brain-map.org/api/index.html. (B) Schematic showing the anatomical relationship between the hippocampus (HPC), anterior thalamic nuclei (ATN), and entorhinal cortex (EC). Note that the anterior thalamic nuclei project to the hippocampus indirectly via the postsubiculum (PoS), medial entorhinal cortex (mEC), and retrosplenial cortex (RSC). (C) Coronal section of a rat brain at anterior/posterior (AP) -1.78 with the anterior thalamic nuclei labeled. AD: anterodorsal nucleus thalamus; AV: anteroventral nucleus thalamus; AMd: anteromedial nucleus thalamic, dorsal part; IAM: interanteromedial nucleus thalamus; LD: laterodorsal nucleus thalamus. (D) Coronal section of a rat brain at AP -3.7 with the hippocampus labeled. DGlb: dentate gyrus, lateral blade; DGmb: dentate gyrus, medial blade. (E) Coronal section of a rat brain at AP -1.78 with the entorhinal cortex labeled. ENTm 1-6: entorhinal area, medial part, dorsal zone, layers 1–6; ENTl 1-6: entorhinal area, lateral part, layers 1-6. (B–D) A mid-sagittal section is represented above each coronal section with a red line indicating the AP coordinate for each coronal section. Atlas images obtained and adapted from (Swanson, 2004). Available from: <http://larryswanson.com/>.

contains neurons that discharge when animals are within particular locations of the environment and are called “place” cells (Fig. 3A). Neurons that fire as a function of the animal’s “head direction” have been identified in several cortical and thalamic limbic regions, but most prominently in the anterior thalamus (Fig. 3B). In addition, neurons that fire in several regions of the environment arranged in a hexagonal “grid” have been identified one synapse upstream in the medial

entorhinal cortex (Fig. 3C). Together, these neural populations are thought to provide an animal with critical spatial information regarding their location and orientation in the environment and play a role in an animal’s self-localization in an environment and accurate navigation.

A central aim of the present review is to provide a comprehensive summary of the prenatal and postnatal developmental impact of alcohol exposure on the neurobiology of spatial processing. First, we present a

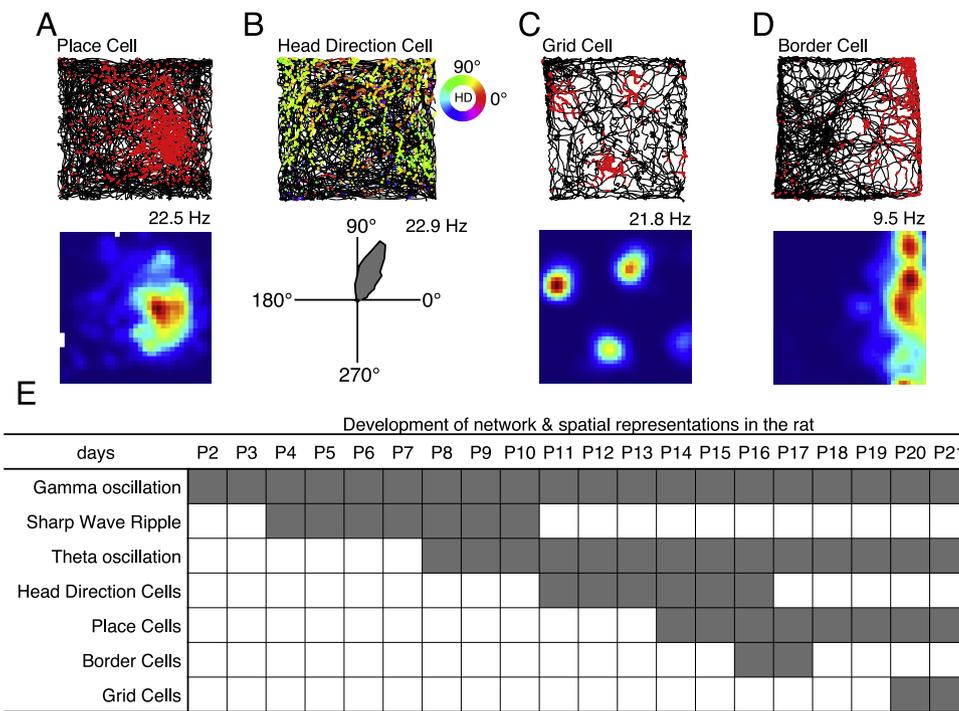


Fig. 3. Firing patterns of major cell types thought to be involved in spatial processing. (A) Place cell. Top: action potentials, or spikes, (red) on path (black). Note that the spikes cluster in one physical location. Bottom: Occupancy normalized heat map of the above place cell. Note that the warmer colors cluster in the same physical location as the above plot indicating that this cell is a place cell. (B) A head direction (HD) cell with a preferred firing direction at 72 degrees. Top: spikes on rat's path with each spike color-coded by the rat's head direction at the time of each spike. Note that most of the spikes in this plot are a mixture between yellow and green which indicates that this HD cell might have a preferred firing direction around 70 degrees when compared with the circular color bar to the right. Bottom: circular tuning curve representing the HD cell's preferred firing direction and firing rate in spikes per second with a peak rate of 22.9 Hz. (C) A grid cell with four firing fields. (D) Border cell that prefers the east most border. (E) Rodent postnatal developmental timeline, from postnatal day 2 through postnatal day 21, of hippocampal network activity (rows 1–3) and spatial cell emergence (rows 4–7). The start of each gray bar indicates the earliest reported emergence of the signal and the end of the bar indicates when each signal is mature or adult-like. (A–D) all example cells were compiled from ongoing unpublished projects. The numbers to the upper right of each lower plot indicate the peak firing rate of each cell in number of spikes per second. Top: spikes from an individual cell (colored dots) on rat's path throughout the entire recording session (black).

large body of evidence demonstrating that developmental alcohol exposure has a significant impact on spatial learning and memory. Our aim in this first section is to evaluate the range of behavior assessments used to investigate the integrity of spatial processing but to also highlight the specificity in behavioral deficits that follow developmental alcohol exposure. Secondly, we summarize research suggesting a linkage between spatial behavior deficits and underlying neurobiological changes. Although the neural systems involved in spatial processing likely involve a broad network of hippocampal and cortical-limbic and cortical-thalamic regions (Clark et al., 2018; Hinman et al., 2018), our review focuses on three central “hubs” within this network: the hippocampus proper, entorhinal cortex, and anterior thalamus. These three interconnected regions (Fig. 2B) have been shown to play a central role in the expression of place, grid, and head direction signals as well as accurate spatial navigation (Moser et al., 2017). In the sections below, we summarize evidence suggesting that these neural systems are significantly impacted by developmental alcohol exposure, which we argue may mediate deficits in spatial problem solving reported after prenatal and postnatal alcohol exposure. Lastly, our review summarizes a number of unanswered questions that could potentially provide a framework for future investigation. Because most memory tasks in humans are largely verbal in nature, the assessment of spatial processing may serve as an avenue for comparative research between humans and animal models of FASD. Further, although memory deficits associated with FASD have also been reported in non-spatial categories (object memory, working memory, etc.), we suggest that a thorough understanding of the neurobiological changes associated with spatial memory deficits should provide a foundation for extension to these other memory domains (Eichenbaum et al., 2007; Martin and O'Keefe, 1998; Squire, 1992).

2. Developmental alcohol exposure and spatial behavior

First, it must be clarified that gestation and development in rodents differ from that of humans. The human gestation period is characterized by three trimesters, all of which occur prenatally or before birth. In

humans, a period of rapid neural growth occurs between weeks 25 and 38 of gestation in the third-trimester, peaks at birth, and then tapers off in early years (Dobbing and Sands, 1978). Compared to humans, the rat and mouse gestational period is much shorter (rats: 21–22 days; mice: 18–21 days), and rodent offspring continue to undergo neural development postnatally or after birth (Cronise et al., 2001; Tran, 2000; Wigal and Amsel, 1990). Because of this, the first two rodent trimesters occur prenatally, and the third-trimester occurs postnatally. Broken down, the first-trimester equivalent occurs on gestational days (G) 1–10, the second-trimester equivalent corresponds to G11–G18/22 and the third-trimester equivalent corresponds to postnatal (P) days P1–P10.

2.1. Morris water task

A large proportion of behavioral studies assessing spatial processing following alcohol exposure have largely made use of the Morris water task (MWT). The MWT is traditionally used to assess place learning, the ability to navigate to the precise location of the hidden platform within the pool (Fig. 4A) (Morris, 1984; Morris et al., 1982). Animals can also navigate by learning to swim in the direction of the platform; sometimes termed directional responding (Hamilton et al., 2007, 2008; Knierim and Hamilton, 2011). The basic procedure of the MWT involves placing an animal at a semi-random location near the border of a large circular pool filled with opaque water. In most applications of this task, the animal can escape the water by finding a hidden escape platform which is located a few centimeters below the surface of the water; other applications can use a cued platform. Over several trials, the animal learns to effectively locate the hidden platform, resulting in a decrease in the latency and cumulative swim distance to the platform location. Several studies indicate that animals can use a combination of cues to localize the platform including distal cues located along the testing room walls (Hamilton et al., 2007), the pool walls (Hamilton et al., 2008), and self-motion cues (e.g., optic flow, proprioceptive, and vestibular) generated from the animals transport to the pool (Clark et al., 2015; Stackman et al., 2012). To assess retention of place

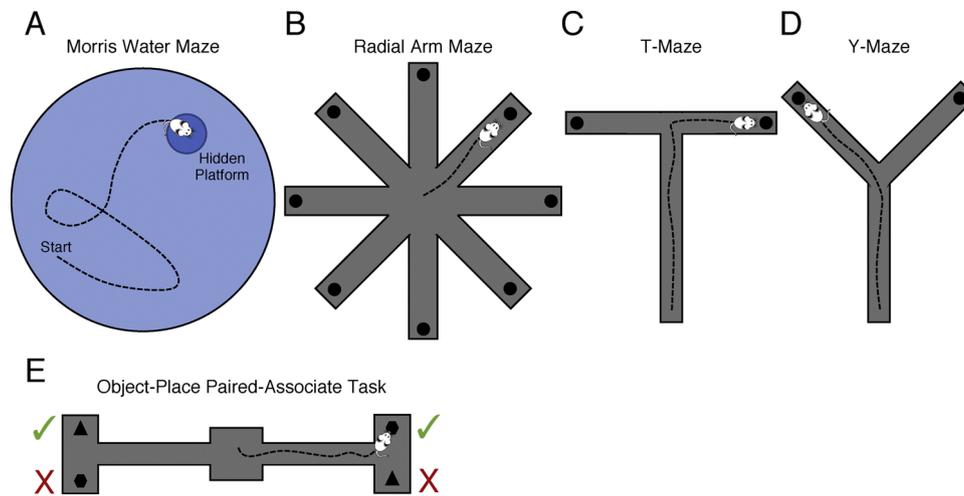


Fig. 4. Overhead schematic of spatial navigation tasks. (A) Morris water maze. (B) Radial arm maze. (C) T-Maze. (D) Y-Maze. (E) Object-place paired-associate task. Black shapes represent different objects. (B–D). Black circles represent reward locations.

learning, probe tests can be performed at the end of training by removing the hidden platform and allowing the animals to swim for 60 s. Impairments in the accuracy of spatial learning and memory is well documented following damage of the hippocampal formation (Morris et al., 1982), including damage of the entorhinal cortex, and the limbic thalamus (Clark and Harvey, 2016; Hales et al., 2014; Steffenach et al., 2005).

As summarized in Fig. 1, a general trend exists in which moderate developmental alcohol (blood alcohol content (BAC) ~ 7–120 mg/dl) spares, but high dose developmental alcohol (> BAC 120 mg/dl) impairs rodent spatial learning in the MWT. Several studies indicate that high dose prenatal alcohol exposure produces a clear detrimental effect on MWT performance as measured by increased escape latency during task acquisition and less focused search behavior at the platform location during probe trials (An and Zhang, 2013; Blanchard et al., 1987; Christie et al., 2005; Gianoulakis, 1990; Iqbal et al., 2004; Kim et al., 1997; Matthews and Simson, 1998). In addition, high dose postnatal alcohol exposure reportedly creates detrimental effects in this task (Girard and Wainwright, 2002; Goodlett and Johnson, 1997; Goodlett et al., 1987; Kelly et al., 1988; Thomas et al., 2007; Wagner et al., 2014). With respect to task acquisition, deficits in MWT performance following developmental alcohol exposure are dose-dependent. Specifically, task acquisition in the MWT is unaffected following moderate levels (BAC: 7–100 mg/dl) of prenatal (Cullen et al., 2014; Hamilton et al., 2014; Rodriguez et al., 2016a; Savage et al., 2002; Sutherland et al., 2000) or postnatal alcohol exposure (Zink et al., 2011). In contrast, high dose alcohol exposure either prenatally or postnatally can significantly impair MWT acquisition (see Fig. 1). However, it is important to note that two studies assessing high dose (BAC: 265,350 mg/dl) prenatal (Dursun et al., 2006) and postnatal (Goodlett and Johnson, 1997) alcohol exposure found unaffected MWT performance.

While task acquisition in the MWT is largely unaffected by moderate prenatal alcohol exposure, some studies demonstrate impaired flexibility or impaired spatial working memory for locations in the MWT (Hamilton et al., 2014; Rodriguez et al., 2016b; Sutherland et al., 2000). Assessments for behavioral flexibility or spatial working memory have typically been conducted by moving the platform to a new location in the pool during the probe test. In general, rats exposed to moderate prenatal alcohol exposure tend to perseverate in the previous platform location rather than acquire the new location (see Fig. 1) (Hamilton et al., 2014; Rodriguez et al., 2016b).

Deficits after moderate prenatal alcohol exposure have also been reported during post-acquisition retention tests. For instance in Savage et al. (2010), animals exposed to a moderate dose of prenatal alcohol were given 12 training trials in a single session in the MWT followed by

another 12 trials of training 4 days later. On the first day of training, rats exposed to moderate alcohol prenatally reached asymptotic performance similar to control animals. However, on the first trial of retention testing, the alcohol exposed animals performed significantly worse compared to control animals. In contrast, other studies have reported intact post-acquisition retention for the hidden platform (Cullen et al., 2014). For instance, Cullen et al. (2014) found that low dose alcohol exposure fails to impair spatial memory by rats 24 h after MWT acquisition. However, Cullen et al. (2014) used a relatively smaller pool (110 cm in diameter) during task acquisition and retention testing, perhaps limiting the demands on spatial learning and memory. Matthews and Simson (1998) provided evidence of retention deficits in the MWT following a high dose exposure of alcohol prenatally in rats. This deficit was particularly apparent when the training-probe test interval was set at 3 days, but not after a 1-day interval. Together, these observations suggest that prenatal ethanol exposure can produce retention deficits after MWT training, provided that the training-testing delay is extended to at least 3 days.

2.2. Radial arm maze

The radial arm maze typically consists of eight equally spaced arms that radiate from a small circular central platform with the end of each arm containing a food reward (Fig. 4B) (Olton et al., 1979). The animal is trained to collect each food reward. In the spatial working memory variant of the task, all of the arms are baited with food and the animal is required to retrieve each of the food rewards. Entries into arms already visited are counted as spatial working memory errors. In the spatial reference memory variant of the task, a subset of the arms is baited, and the same arms are rewarded between testing sessions. Entries into unbaited arms are counted as spatial reference memory errors (Harvey et al., 2017). Although some studies have employed the radial arm maze task in order to examine developmental alcohol exposure (Fig. 1), these studies have preferentially used the spatial working memory variant of the task. In general, most studies report deficits in this task variant (Hall et al., 1994; Reyes et al., 1989). However, Sluyter et al. (2005) found no effect on radial arm maze performance after prenatal alcohol exposure. One explanation for this difference in results may be related to the fact that Sluyter et al. (2005) used adult mice (P90), while Hall et al. (1994) & Reyes et al. (1989) used adolescent rats (P26, P60). Thus, it is possible that maturation eliminated the deficit caused by prenatal alcohol. In contrast to these findings, Wozniak et al. (2004) found that radial arm maze performance was impaired in adult mice exposed to alcohol postnatally.

While the studies above evaluated the impact of high dose

development exposure to alcohol, a recent study assessed spatial working memory in the radial arm maze after moderate prenatal alcohol exposure (Brady et al., 2012). The study measured performance by adult mice (P90-150) in a delayed non-match-to-place variant of the task. Briefly, the task required that animals discriminate between a recently visited maze arm and a second previously unavailable maze arm. Importantly, the authors varied the angular distance between the sample and choice arms with some tests involving a separation of two arms and in other tests the 4 arms between the sample and choice arms. Overall, the authors reported that alcohol exposed animals made a greater number of errors when the choice and sample arms were separated by two arms, but not when they were separated by four arms. One explanation for this finding is that the nearby or adjacent maze arms share similarities in the stimuli that define their spatial locations (i.e., distal cues that are visible from both maze arms). Thus, discrimination between the shared spatial features of these locations might be more challenging after developmental alcohol exposure.

2.3. Spatial alternation tasks

The second most used task to examine developmental alcohol exposure's effects on spatial processing is the Y or T-maze alternation task (Fig. 1). Briefly, alternation tasks involve first placing an animal on the base of the 'T' or 'Y' and allowing them to choose one of the two goal arms (Fig. 4C–D) (Deacon and Rawlins, 2006; Lalonde, 2002). When two trials are given, the animal will tend to choose the arm not visited before. This tendency is then reinforced with selective rewards when the animal correctly alternates between the two arms. Thus, entries into previously visited arms are counted as spatial working memory errors. Importantly, this task is hippocampal and anterior thalamic-dependent as rats with hippocampal or anterior thalamic lesions are unable to correctly alternate between the two arms (Clark and Harvey, 2016; Lalonde, 2002).

Developmental alcohol exposure negatively affects the ability to accurately alternate on the Y and T-maze with prenatal (Lee and Rabe, 1999; Riley et al., 1979; Wainwright et al., 1990; Zimmerberg et al., 1989, 1991) and postnatal exposures (O'Leary-Moore et al., 2006; Thomas, 2004; Thomas et al., 1996, 1997). In addition, similar to the performance on the MWT, alternation task performance seems to be dose-dependent as rats with moderate prenatal (Cullen et al., 2014; Riley et al., 1979) and moderate postnatal alcohol exposure (Zink et al., 2011) are unaffected. Further, there is evidence that male rats are more affected than female rats following prenatal exposure (Zimmerberg et al., 1989, 1991). Zimmerberg et al. (1991) used a variant of the task to investigate the impact of prenatal alcohol on spatial working versus spatial reference memory performance. Spatial reference memory errors were measured by counting the number of visits down a "blind alley" that occupied a fixed location in the stem of the T maze. Overall, the authors reported that males and females with prenatal alcohol exposure made a greater number of spatial reference memory errors (blind alley entries) compared with controls, but only males made a greater number of spatial working memory errors (entries into previously visited arms).

2.4. Object-place paired-associate task

Recent research has shown that moderate PAE can produce performance deficits in a dry land task in which animals were required to associate specific items with spatial locations. Specifically, Sanchez et al. (2019) exposed pregnant rats to a moderate amount of alcohol (BAC: 60 mg/dl) throughout gestation and tested their adult male offspring on an object-place paired associate task (Fig. 4E). In this task, rats were trained to discriminate between an identical pair of objects presented at two spatial locations. Rats were reinforced with a food reward only after selecting a specific object when it appeared in a specific location, but not when it was presented in a second location.

Sanchez et al. (2019) found that moderate prenatal alcohol exposure significantly disrupted the acquisition of this paired-associate task. Importantly, Sanchez et al. (2019) reported that rats exposed to moderate alcohol prenatally could accurately discriminate between objects. In addition, some recent preliminary findings indicate that performance is intact even when the two objects have significant overlap in their perceptual similarity (Sanchez et al., 2018), suggesting that deficits are not related to the overall complexity of the task (e.g., Clausing et al., 1995). These observations also lend support for the conclusion that exposure to moderate alcohol prenatally appears to impair performance in tasks requiring the discrimination between two or more spatial locations (Brady et al., 2012).

2.5. Human spatial behavior

Several studies have reported impairments by human subjects with FAS and FASD in visual-spatial tasks (Aronson et al., 1985; Kaemingk and Halverson, 2000; Streissguth et al., 1989; Uecker and Nadel, 1996). In general, testing required that subjects accurately identify spatial relationships between features of an object, such as texture, color, size, or orientation on a paper or a 2D computer screen. Note that these tasks do not involve navigation, nor do they require the subject's awareness of their own location with respect to the environment. Further, visual-spatial ability may rely on different or partially overlapping neural systems as spatial navigation (Broadbent et al., 2004; Eichenbaum et al., 2007; Kolb et al., 1994; Winters et al., 2004). Experiments involving an assessment of a subject's awareness of the space around them, their location within this space, and how to navigate to and from different locations, has received less attention. To address this gap in the literature, Hamilton et al. (2003) assessed FAS's effect on spatial processing by examining adolescent (9.5–16.5 years old) male's performance on a virtual Morris water maze task. Participants were trained to virtually navigate to a hidden platform with several environmental cues available. This was followed with a probe trial where the platform was removed and a final set of trials in which the platform was visible. Hamilton et al. (2003) found that individuals with FAS traveled further to find the hidden platform during training and made indirect trajectories to the platform area during the probe session. Importantly, performance by subjects with FAS was similar to control subjects when the platform was visible. In addition, subjects with FAS and control subjects navigated at a similar speed. The latter similarities suggest that differences in visual acuity, motor control, and motivation are not likely contributing factors to the spatial navigation impairments detected in hidden platform testing. These findings are consistent with many rodent studies which found deficits in the MWT following developmental alcohol exposure, as discussed above.

Mattson et al. (2010) used an identical virtual Morris water maze task and found that children with FAS spent a greater amount of time in the target quadrant during the probe trial. While this finding does not suggest navigation or spatial memory impairment, it might suggest reduced behavioral flexibility in searching other spatial locations for the platform. Woods et al. (2018) examined children (9.4 years old) with FASD in a virtual environment visually similar to the virtual Morris water maze task used in Hamilton et al. (2003) during fMRI scanning. However, the task only involved passively viewing of a recording of another person navigating the environment to locate a hidden platform and did not require participant input. After the scan, the participants were then able to navigate the virtual environment on their own using a joystick to find a hidden platform location. Results revealed that while females with FASD were unaffected in their ability to navigate the virtual environment, FASD in males was associated with poorer performance as well as decreased activation of parahippocampal gyrus and temporal lobes. Similarly, sex differences have also been discovered in rodents. Specifically, rodent studies that have found DG LTP impairments in males but not females following developmental alcohol exposure (Patten et al., 2013a, 2013b; Sickmann et al., 2014).

The mechanism behind this effect is currently unknown, however, some work suggests that sex difference may be due in-part to altered gonadal hormones or gonadal-adrenal interactions following prenatal alcohol exposure (Weinberg et al., 2008). Lastly, human navigation tasks following prenatal alcohol exposure have only been conducted in children with the main goal of finding better diagnostic criteria. Thus, it is unknown whether adult humans also show spatial processing impairments following prenatal alcohol exposure.

2.6. Summary and considerations for future investigation

A general aim of this first section was to summarize evidence suggesting a strong linkage between developmental alcohol exposure and deficits in spatial processing. As expected, spatial impairments are expressed across a broad range of behavior tasks following high dose alcohol exposure (MWT, radial arm maze, and spatial alternation). However, more subtle but specific deficits are observed after moderate alcohol exposure. Specifically, moderate prenatal alcohol exposure impairs the retention of a hidden platform location after MWT learning, but not task acquisition. In contrast to the MWT, moderate prenatal alcohol exposure can result in performance deficits in dry land maze tasks, particularly when rats are required to associate a specific object with a particular maze arm (object-place paired associate task), or when rats are required to discriminate between nearby maze arms.

The specific impairments described above could be mediated by a common neurobiological substrate. For instance, the radial arm maze and object-place tasks require that animals determine their spatial location and guide their actions toward objects or maze arms. Similarly, retention of prior MWT learning requires that animals initially self-localize in the environment to guide subsequent behavior in the pool. The capacity to discriminate between spatial locations and recall previously learning spatial relationships is thought to be a fundamental computational operation of the hippocampal formation. Indeed, it is well documented that performance in the MWT, radial arm maze, spatial alternation, and object-place paired associate tasks are hippocampal-dependent (Hunsaker et al., 2013; Lalonde, 2002; Lee and Kim, 2010; Morris et al., 1982). We have also noted that damage to extra-hippocampal regions such as the entorhinal cortex and anterior thalamus can produce a similar pattern of spatial deficits (Clark and Harvey, 2016; Hales et al., 2014; Steffenach et al., 2005). In the sections below we motivate the hypothesis that developmental alcohol exposure may involve modification to circuitry involving the hippocampus, anterior thalamus, and entorhinal cortex.

It is important to consider some caveats to the behavioral work summarized above. First, developmental alcohol exposure can produce deficits across a range of visual and sensory-motor domains, especially following high dose exposure (e.g., Hamilton et al., 2014; Lantz et al., 2014; Vernescu et al., 2012). Although disruption to the acquisition of these non-spatial variables could influence outcomes in spatial behavior tasks, some studies have controlled for this possibility. For instance, as described above, human subjects with FASD are capable of accurately performing tasks such as the cued platform variant of the virtual MWT wherein the goal location is visually marked thus controlling for visual acuity differences. Accurate performance in this task also suggests that FASD subjects are sufficiently motivated to complete the virtual MWT procedures. Further, moderate prenatal alcohol exposure does not disrupt the acquisition of hidden platform variants of the MWT (Cullen et al., 2014; Hamilton et al., 2014; Savage et al., 2010; Sutherland et al., 2000), nor does it impair discrimination between distinct objects or distinct maze arms (Brady et al., 2012; Sanchez et al., 2018). Again, accurate performance in these tasks suggest that differences in visual acuity or motivation are not central to the spatial deficits described after moderate alcohol exposure. In contrast to the findings above, some studies have reported visual discrimination deficits in rats after alcohol pre- or postnatal alcohol exposure at higher doses (Girard and Wainwright, 2002; Popović et al., 2006). However, other studies have

reported intact object discrimination by rats and mice following high dose exposures of pre/postnatal alcohol (Kim et al., 1997; Wozniak et al., 2004). These latter studies highlight the importance of including assessments of non-spatial and motivational characteristics especially in models of high dose alcohol exposure.

Locomotor or exploratory activity, which is generally measured in short duration tests in large open fields, has been linked to the accurate processing of spatial information (O'Keefe and Nadel, 1978; Poulter et al., 2018; Thompson et al., 2018), and is commonly used to provide a general assessment of movement characteristics and motivation. Although measures of locomotor behavior have generally failed to detect activity differences after moderate alcohol exposure (Brady et al., 2012; Patten et al., 2016), high dose exposure rodent models have produced mixed results with some studies reporting elevated locomotor activity and others reporting no change (reviewed in Marquardt and Brigman, 2016). The latter discrepancy warrants further investigation. Because most experiments have used general measures of locomotor activity (distance traveled, movement speed, etc.) in a single test session, future studies could benefit from a more detailed characterization of locomotor activity coupled with daily testing of open field behaviors (e.g., Lehmann et al., 2007).

An additional consideration is that although previous studies have failed to detect spatial deficits in some tasks (e.g., MWT and spatial alternation), it is possible that different strategies are used to solve the spatial problem. In previous work using the MWT, detailed analysis of the swim path has revealed subtle differences not detectable with standard MWT measures (Berkowitz et al., 2018; Faraji et al., 2018; Garthe et al., 2009; Gehring et al., 2015). For instance, swim paths can be qualitatively or quantitatively categorized into non-spatial or spatial movements (Berkowitz et al., 2018; Garthe et al., 2009; Gehring et al., 2015; Graziano et al., 2003; Wolfer and Lipp, 2000). Escape latency may be increased if the use of non-spatial movements (e.g., thigmotaxis) are employed more often than spatial movements (e.g., direct swims to platform). Furthermore, animals may be able to use non-spatial movements more efficiently over time corresponding to improved escape latency over acquisition trials (e.g., Berkowitz et al., 2018; Garthe et al., 2009). Garthe et al. (2009) found that mice with suppressed hippocampal neurogenesis became more efficient at using swim movements that were not spatially directed, indicating the improvement in their performance was not solely attributable to spatial learning. Finally, path analysis has been shown to dissociate functional contributions from different hippocampal sub-regions in MWT performance (Ruediger et al., 2012). Overall, the use of path analysis methods during MWT acquisition may be able to reveal impairments that general MWT measures cannot completely capture.

3. Developmental alcohol exposure and the neurobiology of spatial processing

The studies discussed above establish that deficits in spatial processing represent a consistent behavioral manifestation of developmental alcohol exposure. In the sections below, we turn our attention to the neurobiological bases of spatial processing impairments after developmental alcohol exposure, with particular emphasis on the hippocampus, entorhinal cortex, and anterior thalamus (Fig. 2). These three regions play a critical role in the establishment of neural representations of spatial location and directional orientation (Fig. 3) and the integrity of these regions have been linked with performance in the behavioral tasks summarized in the section above. In considering the impact of developmental alcohol exposure on these neural systems, we focus our attention on the precise timing of their development (Bayer, 1980a, 1980b; Bayer et al., 1993). Examining the timing of exposure is crucial because brain structures can be affected to a greater or lesser extent depending on the developmental timing of alcohol exposure (Adams-Chapman, 2009; Gressens et al., 1992; Guerri et al., 2009; Rubert et al., 2006). Thus, to better understand functional impairments

following alcohol exposure, the following sections will review the development of brain areas involved in spatial processing, as well as the structural and functional outcomes after developmental alcohol exposure.

4. Influence of alcohol exposure on hippocampal development

The hippocampal formation has a crucial role in encoding spatial, (Morris et al., 1982) and episodic memories (Eichenbaum et al., 2007; Scoville and Milner, 1957). Briefly, the hippocampal formation is composed of multiple structures: hippocampus proper (dentate gyrus (DG), CA1, CA2, CA3), subicular complex (subiculum, presubiculum, postsubiculum, and parasubiculum), and entorhinal cortex (Fig. 2) (Amaral and Witter, 1989). Studies using mammalian subjects, including humans, nonhuman primates, and rodents are in agreement that selective lesions of the hippocampal formation are sufficient to produce severe impairments in certain forms of spatial learning, memory, and navigation (Squire, 1992). The hippocampus proper contains place cells which consistently increase in firing rate when an animal visits a particular location (O’Keefe, 1976) (Fig. 3A), with each hippocampal place cell firing in a unique environmental location thereby covering the spatial layout of each environment encountered by the animal. Together, the population activity is thought to form a “cognitive map” of space giving an animal a sense of location (O’Keefe and Nadel, 1978). Finally, it is important to note that while most place cell findings have emerged from rodent studies, place cells and place-like signals are found in multiple other species such as bats, non-human

primates, and in humans (Ekstrom et al., 2003; Hori et al., 2005; Yartsev and Ulanovsky, 2013).

4.1. General overview of hippocampal development

In rats, hippocampal development starts at the latter half of the second-trimester and continues into adulthood. Hippocampal CA3 pyramidal cells arise between G16-G20 while reaching peak growth rates on G17, and CA1 pyramidal cells emerge between G17-G20 (Bayer et al., 1993) (Fig. 5). DG granule cells originate on G20 and continue to form postnatally (Bayer et al., 1993). While around 15% of DG cells are formed prenatally, approximately 85% of DG cells emerge after birth during the first postnatal week. This neurogenesis reduces during the second and third postnatal weeks so that the DG appears mature at weaning around P21 (Bayer et al., 1993), however DG neurogenesis continues to occur across the lifespan (Christie and Cameron, 2006). As for hippocampal interneurons, studies in mice have found that CA1 and CA3 GABAergic interneurons are generated between G12-G13 and between G13-G14 in the DG (Soriano et al., 1989a, 1989b). Concerning the development of incoming connections, synaptic fibers from the entorhinal cortex appear in CA1-CA3 around G17 and in DG around G18 (Ceranik et al., 1999; Supèr and Soriano, 1994). In humans, it is estimated that pyramidal cells in the hippocampus emerge from the 7th through the 14th week of development, and DG granule cells emerge on the 12th week then continue to emerge throughout the lifespan (Boldrini et al., 2018). Together, depending on the timing and duration of alcohol exposure, different hippocampal regions may be more

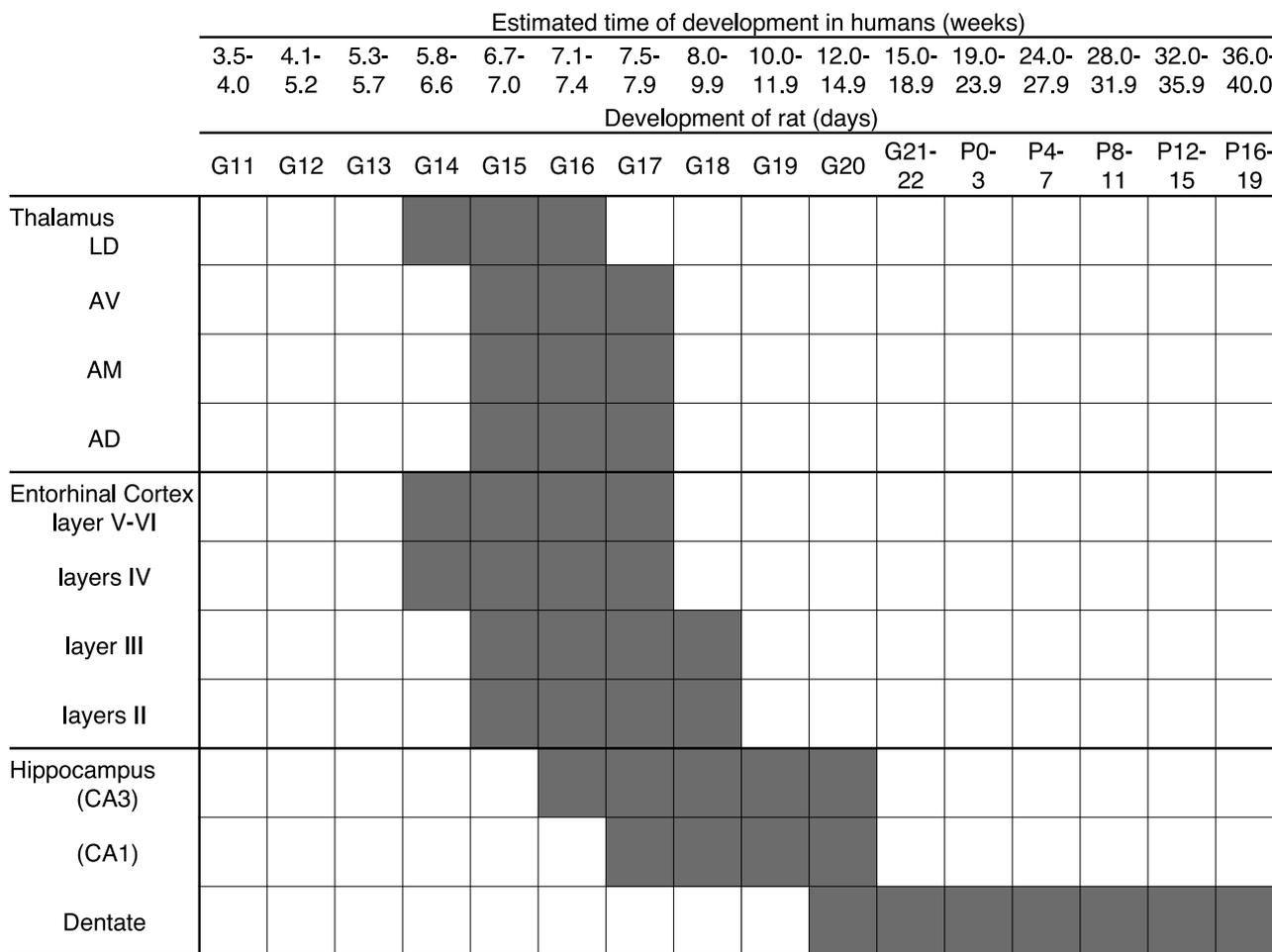


Fig. 5. Estimated timelines of regional neurogenesis in rats and humans. The scale for rats is represented in days and the scale for humans is represented in weeks. Adapted from Bayer, Altman, Russo, & Zhang (1993). See Fig. 2 for anatomical location of regions. Abbreviations: LD: lateral dorsal, AV: anteroventral, AM: anteromedial, AD: anterodorsal; CA: Cornu Ammonis.

susceptible to the teratogenic effects of alcohol.

Oscillatory activity emerges with the maturation of synapses early after birth. One contribution to oscillatory development includes spontaneous network driven events, such as giant depolarizing potentials (GDPs) (Ben-Ari et al., 1989; Griguoli and Cherubini, 2017). GDPs have been shown to originate in the hilus and successively propagate to areas CA3 and CA1 (Strata et al., 1997). These events are mediated by the maturation of GABAergic and glutamatergic synapses between interneurons and pyramidal neurons, respectively (Ben-Ari, 2001). Rodent hippocampal local field potential (LFP) gamma band (30–100 Hz) oscillations, which are thought to transiently coordinate interactions of excitation and inhibition (Buzsáki and Wang, 2012), begin to emerge as early as P2 and have a marked increase in power as hippocampal theta band (7–12 Hz) oscillations emerge around P8 (Mohs and Blumberg, 2008). Both theta and gamma LFP oscillations continue to increase in power until they become adult-like around P21 (Wills et al., 2010), which coincides with the ability to complete spatial memory tasks such as the hidden platform MWT (Brown and Whishaw, 2000; Carman and Mactutus, 2001; Rudy et al., 1987; Schenk, 1985), radial arm maze (Rauch and Raskin, 1984), and T-maze (Green and Stanton, 1989). Hippocampal theta oscillations are strongly correlated with spatial processing (Buzsáki, 2005; Hasselmo, 2005; Kahana et al., 1999), are largely entrained by entorhinal and medial septal inputs, and are internally generated by CA3 collaterals as well as voltage-dependent Ca^{2+} currents in pyramidal cell dendrites (Buzsáki, 2002; Buzsáki and Moser, 2013). After gamma oscillations emerge at P2, immature sharp wave ripples (140–200 Hz) have been recorded as early as P4, and mature ripples emerge around P10 (Leinekugel, 2002). These ripples arise from the excitatory recurrent CA3 system are thought to assist in the transferring of compressed neuronal sequences to distributed circuits in order to support memory consolidation (Buzsáki, 2015).

Immature place cells with poor spatial stability have been observed in rat pups as early as P14 (Muessig et al., 2015) (Fig. 3E). Adult-like place cells that form stable place fields are present at P16. However, further development occurs following P16 as place fields are able to represent locations away from boundaries only after grid cells (discussed below) in the medial entorhinal cortex emerge at P21 (Muessig et al., 2015). Coinciding with the maturation of network activity discussed above, once place cells fully mature, animals are then able to complete tasks that require spatial memory (Brown and Whishaw, 2000; Carman and Mactutus, 2001; Green and Stanton, 1989; Rauch and Raskin, 1984; Rudy et al., 1987; Schenk, 1985).

During sleep, the hippocampus is thought to engage in a process of memory consolidation which involves reactivation or replay. During slow wave sleep, hippocampal place cell firing sequences observed during recent locomotion will “replay” in a temporally ordered and faster timescale in series of sharp wave bursts (Lee and Wilson, 2002; Nádasdy et al., 1999; Skaggs and McNaughton, 1996; Wilson and McNaughton, 1994). These compressed sequences of place cell firing are hypothesized to underlie the network mechanism of memory consolidation (Dupret et al., 2010; Ego-Stengel and Wilson, 2009; Girardeau et al., 2009; McNamara et al., 2014; van de Ven et al., 2016). Recent evidence suggests that hippocampal replay gradually emerges beginning on P17 and becomes mature or adult-like on P32 (Muessig et al., 2019). Before replay maturity, hippocampal replay is only able to represent single stationary locations, and young rats gradually acquire the ability to combine the spiking of multiple place cells into a coherent compressed “replay” sequence as they age.

Together, the cellular prenatal and functional postnatal development of the hippocampus occurs gradually in a sequence-like manner. Alcohol exposure at any point of development might disrupt this sequence which in turn may disrupt spatial processing.

4.2. Hippocampal cellular changes after developmental alcohol exposure

Following prenatal alcohol exposure, there is evidence of cell loss

throughout the hippocampus. One of the first studies examining hippocampal cell counts following alcohol exposure focused attention on dorsal hippocampal pyramidal (CA1-CA3) and DG granule cells in rats following 15.5 g/kg of alcohol per day (unknown BAC) from G10-G21 (Barnes and Walker, 1981). While they found 20% fewer CA1-CA3 cells at P60 (early adulthood), DG cells were unaffected. This finding is consistent when taken into context with the development of the hippocampus. CA1-CA3 cells emerge on days G15-G20, and DG cells start to emerge on G20. Thus, given that alcohol was present during CA1-CA3 development to a much greater extent than during DG's development, DG neurons were spared in adulthood. A subsequent study by Miller (1995) using a slightly different exposure period (G6-G21) and amount of alcohol (BAC: 144 mg/dl) also found fewer CA1-CA3 cells and unaffected DG cells at P30 (adolescence) in rats. Recent studies suggest however that prenatal alcohol exposure may have a greater impact on cell density later in life. For instance, studies using an expanded exposure period (G1-G20) found no differences in CA1-CA3 and DG cell counts in rats at P10 (Livy et al., 2003; Maier and West, 2001). In addition, a decline in DG neurogenesis has been reported in adult rats after prenatal alcohol exposure (Gil-Mohapel et al., 2014). However, other evidence suggests that only CA1 is affected in adulthood following a P1-P22 exposure (BAC ~300 mg/dl) while CA3 and DG neurons in rats are unaffected (Tran and Kelly, 2003), and another study using guinea pigs has found decreased CA1 cell counts in early life (P10) (Gibson et al., 2000), which contrasts with the work above. Taken together, these results suggest that specific hippocampal cell populations might be susceptible during different prenatal periods, but the outcomes largely depend on many different factors such as alcohol dose, timing of administration, type of administration, the age of testing, and cell counting methodology.

There is also evidence of hippocampal cell loss following postnatal alcohol exposure. For instance, West et al. (1986) exposed rat pups from P4-P10 and found that a BAC of 380 mg/dl did not affect CA1 or CA3, but it did cause a curious 10% increase in the cell density of DG neurons. In a following study, Bonthius and West (1990) similarly exposed rat pups from P4-P10 and found that while BACs of 39.2 & 190.7 mg/dl produced no effect on hippocampal density, a BAC of 361.1 mg/dl reduced CA1 cell density and left CA3 and DG unaffected. This finding, observed at P10, was also observed in rats at P90 indicating that CA1 cell loss was permanent and unable to be rescued by maturity (Bonthius and West, 1991). With similar findings, Tran and Kelly (2003) exposed rat pups from P2-P10 and found that a BAC of 398.2 mg/dl reduced CA1 cell counts while sparing CA3 and DG in adulthood (P112-P174) which further provides evidence of life long changes following postnatal alcohol exposure. Inconsistent with the ongoing trend of impaired CA1 neurons and unimpaired CA3 and DG cells, Livy et al. (2003) exposed rat pups from P4-P9 and found that a BAC of 359 mg/dl did result in reduced CA1 cell numbers at P10, however CA3 and DG were also affected with reduced cell counts.

4.3. Hippocampal interneuron density and function after developmental alcohol exposure

A recent series of studies have focused attention on the activation of apoptotic pathways in GABAergic hippocampal interneurons in rodents exposed to alcohol in the third trimester (Bird et al., 2018; Ogievetsky et al., 2017). Ogievetsky et al. (2017) found that apoptotic interneurons were most densely found in area CA1 and the hilus after an acute high dose of intraperitoneally injected alcohol. In addition, using mice Bird et al. (2018) found significant increases in apoptotic interneurons in area CA3, with non-significant but increased apoptotic interneurons in the hilus and CA1. Furthermore, interneuron counts in area CA3 were reduced by 11.9% at P90 compared to control counts. Though the results of these studies are slightly different, both indicate that alcohol affects the distribution of interneurons within the hippocampus. Additionally, cortical regions, of which provide input into upstream

regions of the hippocampus, such as the entorhinal cortex, nucleus reuniens and parahippocampal cortex, also exhibit significant alterations in GABAergic interneurons (Moore et al., 1997; Skorput et al., 2015; Smiley et al., 2015). For instances, alcohol exposure in utero increases the rate of migration of GABAergic interneurons in the medial prefrontal cortex (Skorput et al., 2015), while parvalbumin positive interneurons are decreased in the anterior cingulate cortices (Moore et al., 1998).

GABAergic interneuron dysfunction could contribute to hippocampal hyper-excitability observed after postnatal alcohol exposure. For instance, GABAergic interneurons in the hippocampus are thought to provide inhibitory control of principal pyramidal cells and loss of this inhibition could lead to a state of hyper-excitability. Zakharov et al. (2016) found that postnatal alcohol exposure led to hyper-excitability of CA3 hippocampal cells with increased frequency of giant depolarizing potentials (GDPs) and reduced firing synchronization of CA3 neurons in rats. Although GDPs were not specifically measured, Krawczyk et al. (2016) found that first trimester exposure to alcohol also results in hyper-excitability CA3 neuron responses whereby sharp wave ripple amplitude and duration are increased in mouse P15–21 hippocampal slice preparations. Importantly, these changes may result in long-term changes to hippocampal functional connectivity. Wilson et al. (2011) found that postnatal alcohol exposed adult mice exhibited enhanced coherence between the piriform cortex and dorsal hippocampus, enhanced dorsal hippocampus spontaneous activity, and increased theta and delta band power in the hippocampus during odor-evoked stimulation under anesthesia. Furthermore, although both control and alcohol exposed mice had no trouble habituating to or identifying odors, alcohol exposed mice were impaired on an object-place memory task, indicating that this circuit level dysfunction may be particularly harmful for spatial memory.

4.4. Hippocampal plasticity after developmental alcohol exposure

Emerging evidence suggests that prenatal or postnatal exposure in mice and rats prevents typical development of plasticity within the hippocampus. One function of the hippocampus is to act as a putative cellular information storage mechanism through the process of synaptic plasticity (Bliss and Collingridge, 1993). Mice that lack NMDA receptor-mediated synaptic currents and long-term potentiation in CA1 synapses show deficits in performing a Morris water maze hidden platform task, indicating that hippocampal synaptic plasticity is essential for effective spatial memory (Tsien et al., 1996). Activity-dependent synaptic plasticity can occur through several different mechanisms, but here we will describe the developmental impact of alcohol on short and long-term synaptic plasticity.

Generally, short-term synaptic plasticity involves short-lasting changes, on the order of milliseconds to minutes, in synaptic strength or transmitter release resulting from several processes which occur in the presynaptic neuron (Dolphin et al., 1982; Zucker and Regehr, 2002). One experimental method to study short-term plasticity is a paired-pulse stimulation paradigm which involves the administration of two stimuli (voltage change) around 10–100 ms apart while simultaneously listening for responses. The response in the post-synaptic neuron to the second stimulus can be increased or decreased (paired-pulse facilitation, paired-pulse depression) relative to the first stimulus. One of the first studies to examine alterations in short-term synaptic plasticity following prenatal alcohol exposure found that paired-pulse facilitation in CA1 was enhanced relative to controls over a range of interstimulus-intervals from 5 to 100 ms in rats (Hablitz, 1986). This finding suggests that, after alcohol exposure, CA1 synapses have a lower release probability, which may inhibit glutamate release onto CA1 neurons. A following study found a dose effect where following prenatal alcohol exposure, paired-pulse facilitation in CA1 was enhanced only after a high dose (35% ethanol-derived calories (ECD)) of alcohol, whereas there was no effect following a low dose (17% ECD) in rats (Tan et al., 1990).

While prenatal alcohol exposure produces negative effects on short-term CA1 plasticity, there is evidence that postnatal alcohol exposure spares CA1 function which suggests that CA1 may be particularly vulnerable to alcohol exposure during the first two trimesters (Bellinger et al., 1999).

Long-term synaptic plasticity involves long-lasting changes, on the order of hours to days, in synaptic strength or neurotransmitter release resulting from several processes which occur in the pre- and post-synaptic neuron. While previous work has demonstrated that developmental ethanol exposure can produce reductions in long-term experience-dependent structural changes associated with plasticity (e.g., changes in dendritic complexity and spine density; see Berman and Hannigan, 2000), an extensive body of work has focused attention on the impact of developmental alcohol exposure on long-term potentiation (LTP) and long-term depression (LTD) (Bliss and Gardner-Medwin, 1973; Bliss and Lomo, 1973). LTP is a persistent strengthening of synapses based on recent patterns of activity, while LTD is the long-lasting decrease in synaptic strength. LTP and LTD are believed to be necessary for the encoding and consolidation of new information as well as recall of previously gained information (discussed in Redondo and Morris, 2011).

Hippocampal CA1 LTD has been proposed to be necessary for effective spatial memory. Rats with blocked CA1 LTD show impaired spatial memory on a Morris water maze task, suggesting that LTD is necessary for the consolidation of long-term spatial memory (Ge et al., 2010). There have been only a handful of studies which examined prenatal alcohol's effect on hippocampal LTD. Izumi et al. (2005), using a single exposure on P0 or P7, found that CA1 LTD was reduced, while more recent evidence suggests that exposure through all three trimesters enhanced CA1 LTD suggesting a delay in synapse maturation (Kervern et al., 2015). Further, there is evidence that aberrant CA1 LTD following developmental alcohol exposure is dose dependent with no effects after a moderate dose (87 mg/dl) (Titterness and Christie, 2012) and impaired LTD after a high dose (20% v/v ethanol solution) throughout the first two trimesters (An and Zhang, 2013; An et al., 2013).

Hippocampal CA1 LTP has also been proposed to be important for effective spatial memory as Sakimura et al. (1995) found that mice with impaired CA1 LTP display deficient spatial learning on the MWT. One of the first investigations of LTP following prenatal alcohol exposure (3% v/v ethanol solution, G1–G22) found decreased CA1 LTP (Swartzwelder et al., 1988). Since this initial study, several other groups have investigated CA1 LTP, but have come to inconsistent results. Several studies have supported the original finding of decreased CA1 LTP (An et al., 2013; Izumi et al., 2005; Kervern et al., 2015; Richardson et al., 2002), while several others have found no effect (Bellinger et al., 1999; Byrnes et al., 2004; Krahl et al., 1999; Tan et al., 1990). Other evidence has suggested a sex difference in hippocampal plasticity where following prenatal exposure, males have decreased CA1 LTP and females have increased CA1 LTP (An and Zhang, 2013).

Few studies have investigated developmental alcohol's effects on CA3 LTP. Hippocampal CA3 has been long known to be crucial for accurate spatial navigation and memory (Handelmann and Olton, 1981). While many studies have focused on the effects of alcohol exposure on NMDA-dependent and AMPA-mediated field excitatory postsynaptic potential LTP in CA1, Zucca and Valenzuela (2010) examined the effects of postnatal alcohol exposure on the hippocampal CA3 GABAergic system. They found that third-trimester equivalent moderate alcohol exposure (BAC: 23 mg/dl) abolished brain-derived neurotrophic factor dependent LTP-GABA_A in CA3 pyramidal neurons (Zucca and Valenzuela, 2010). This finding indicates that CA3 is functionally affected by postnatal alcohol exposure which may lead to a hindrance of spatial navigation and memory. Further, because hippocampal theta oscillations are generated internally by CA3 (Buzsáki, 2002; Buzsáki and Moser, 2013), one might also find altered hippocampal theta rhythms in animals with developmental alcohol exposure.

Studies that have examined LTP in the DG following prenatal alcohol have provided more consistent observations. Sutherland et al. (1997) exposed rats prenatally to a moderate amount of alcohol (BAC: 83 mg/dl) and waited until offspring were 120 to 150 days old in order to assess synaptic differences in adulthood. They then measured rat's field excitatory postsynaptic potentials and population spikes from the DG in response to perforant path stimulation and found significantly reduced LTP. This evidence suggests that even under moderate doses, DG LTP is affected. Subsequent studies using an assortment of moderate to high doses of prenatal alcohol have identified similar results that LTP in DG cells from adult male rodents is reduced after prenatal alcohol exposure (Brady et al., 2013; Christie et al., 2005; Helfer et al., 2012; Patten et al., 2013a, 2013b; Sickmann et al., 2014; Sutherland et al., 1997; Titterness and Christie, 2012; Varaschin et al., 2010, 2014). In addition, work by Brady et al. (2013) has indicated that reductions in DG LTP may reflect alterations in the subunit composition of NMDA receptors after moderate prenatal alcohol exposure.

Lastly, some studies have reported sex differences in the effects of developmental alcohol exposure on DG LTP. Specifically, increased DG LTP has been reported in adolescent (P30-P35) female rats (Titterness and Christie, 2012) and control-like DG LTP in early adulthood (P55-P70) (Patten et al., 2013a, 2013b; Sickmann et al., 2014). This evidence suggests that female rodents might have some mechanism that causes a protective effect, but this mechanism is currently unknown.

4.5. Neuroimaging and hippocampal function after developmental alcohol exposure

Less invasive procedures such as magnetic resonance imaging (MRI) and functional MRI (fMRI) have been utilized to examine hippocampal morphological features and activity after developmental alcohol exposure. For instance, Joseph et al. (2014) reported that children (mean age 11.6 years old) with FAS and partial FAS differed from control subjects with respect to the physical shape of their hippocampi. While some studies suggest that hippocampal volume in children is relatively intact following prenatal alcohol exposure (Archibald et al., 2001; Treit et al., 2013), others have reported smaller left hemisphere hippocampi in children with FAS (Riikonen et al., 2007; Willoughby et al., 2008).

Using fMRI, Rodriguez et al. (2016) scanned rats that were exposed to a moderate amount of prenatal alcohol (5% v/v ethanol solution BAC: 60.8 mg/dl) and found reduced functional connectivity between the hippocampus and other brain areas, suggesting network abnormalities in individuals exposed to alcohol during development. There is also evidence of diminished activation in the temporal lobe from children (8–13 years old) who were exposed to high levels of prenatal alcohol which further indicates network abnormalities following prenatal alcohol exposure (Sowell et al., 2007). Additionally, Woods et al. (2018) scanned children with FASD in an fMRI while they passively watch a recording of a first person's view of a virtual MWT. They found that, among other affected regions, the parahippocampal gyrus and temporal lobes had reduced activation compared with the control group. Together, there is a consistent finding that the functional hippocampal network and hippocampal activation is diminished following prenatal alcohol exposure which may account for observed behavioral differences.

4.6. Hippocampal place cells and hippocampal-dependent spatial behavior after developmental alcohol exposure

The sections above summarize considerable evidence linking changes in morphology, neural activity, and synaptic plasticity in the hippocampus after prenatal alcohol exposure. However, we are unaware of published findings on the impact of developmental alcohol exposure on hippocampal place cell firing. Despite the absence of systematic investigation on developmental alcohol and hippocampal place cell function, we can speculate on several possible outcomes. First,

future work investigating the impact of developmental alcohol on population firing characteristics of hippocampal place cells will be critical. For instance, changes in the balance between excitatory and inhibitory signaling can result in the desynchronization of firing by hippocampal neural populations (Marissal et al., 2018). Secondly, as discussed above, pre- and postnatal alcohol exposure produce large reductions in hippocampal synaptic plasticity, especially between entorhinal-to-DG synapses. Importantly, there is evidence that reductions in hippocampal LTP are associated with a decrease in the stability of place fields, i.e., hippocampal place fields tend to change their firing locations, or “remap” between recording sessions (Agnihotri et al., 2004; Barnes, 1979; Barnes and McNaughton, 1980; Barnes et al., 2000; Dieguez and Barea-Rodriguez, 2004; Rotenberg et al., 1996, 2000). The absence of consistent, or stable, hippocampal place cell firing when encountering familiar environments could be related to the consistent spatial memory deficits reported after developmental alcohol exposure (see Fig. 1). Third, impairments in hippocampal LTP, NMDA receptor function, and hyperactivity in hippocampal circuitry have been linked to an animal's difficulty in discriminating between overlapping spatial stimuli or retrieving a spatial memory from partial sensory information; behaviors often linked to the computational processes of pattern separation and pattern completion, respectively (Wilson, 2004; Wilson et al., 2003; reviewed in Yassa and Stark, 2011). Pattern separation is hypothesized to be critical for an animal's ability to distinguish events that may include overlapping sensory features and spatial locations. There has been much evidence suggesting a dependence of pattern separation on the integrity of DG (for review, see Kassab and Alexandre (2018) & Yassa and Stark (2011)). Briefly, the DG, which is composed of a dense population of granule cells, is thought to generate a sparse code from entorhinal cortex inputs (Knierim and Neunuebel, 2016; Leutgeb et al., 2007). Pattern completion is thought to involve CA3 neurons which act as an autoassociative network due to its dense recurrent collaterals.

It might be expected that hippocampal-dependent pattern separation or pattern completion operations are disrupted after developmental alcohol exposure. Support for this possibility come from the reported discrimination impairments observed in the radial arm maze (Brady et al., 2012), and acquisition deficits reported in the object-place task after moderate alcohol exposure (Sanchez et al., 2019). Both tasks are known to require intact DG and CA3 (Gilbert and Kesner, 2003; Lee and Solivan, 2010; also see Patten et al., 2016). It is important to point out that these studies were performed without parametrically manipulating the similarity of spatial stimuli, making it difficult to draw firm conclusions regarding pattern separation/completion (for discussion see Yassa and Stark, 2011). Future studies at the behavioral and neural population level are needed to address these issues.

5. Anterior thalamic nuclei development and developmental alcohol

A large body of behavioral and electrophysiological studies have linked the anterior thalamus (ATN) to spatial processing (Clark and Harvey, 2016). Central to this conclusion was the discovery of head direction (HD) cells, which fire as a function as an animal's HD and are hypothesized to provide an animal with their sense of direction (Cullen and Taube, 2017). HD cells (Fig. 3B) have been identified in the ATN but have also been described in a broad network of cortical and thalamic limbic system including the postsubiculum, entorhinal cortex, retrosplenial cortex, posterior parietal cortex, and lateral mammillary nucleus (Fig. 2) (Chen et al., 1994; Giocomo et al., 2014; Lozano et al., 2017; Stackman and Taube, 1998; Taube and Muller, 1998; Taube et al., 1990a, 1990b; Wilber et al., 2014). HD-like signals have also been identified in human retrosplenial cortex, thalamus, and precuneus using fMRI and virtual navigation (Shine et al., 2016). Research on the role of head direction cell activity in spatial navigation is still developing, however, several studies have shown that damage to brain regions containing HD cell populations can produce impairments in

navigation (Aggleton et al., 1996; Beracochea et al., 1989; Clark and Harvey, 2016; Harvey et al., 2017; Mair et al., 2003; Mitchell and Dalrymple-Alford, 2006; for review see Weiss and Derdikman, 2018). Though many areas distributed throughout the brain contain HD cells, this review will focus on the ATN due to the strength of HD signaling in this region and due to the fact that intact ATN are necessary for HD cell firing in downstream cortical and parahippocampal regions (Clark and Taube, 2012; Winter et al., 2015).

5.1. ATN is composed of several subnuclei

The ATN is comprised of anterodorsal (AD), anteroventral (AV), anteromedial (AM), and lateral dorsal (LD) nuclei, all of which contain a high percentage of HD cells compared with other limbic areas (Fig. 2A, C). It is estimated that the AD, in particular, is comprised of approximately 60% HD cells (Taube, 1995). AV also contains HD cells which are strongly theta modulated (Tsanov et al., 2011); a possible consequence of receiving the majority of its inputs from the medial mammillary nuclei whose neurons are also theta modulated (Sharp and Turner-Williams, 2005). Similarly, the AM has been found to contain HD cells (Jankowski et al., 2015) and receives input from the medial mammillary nuclei (Sharp and Turner-Williams, 2005). LD, which has also been found to contain HD cells (Mizumori and Williams, 1993), is hypothesized to guide spatial orientation and establish trajectories based on visual-somatosensory stimuli and proximal landmarks (Clark and Harvey, 2016). When the ATN is lesioned or inactivated, direction-specific firing properties of HD cells in downstream areas such as the postsubiculum, entorhinal cortex and parasubiculum are severely disrupted, which indicates that the ATN is involved in the generation or maintenance of the HD signal throughout the forebrain (Fig. 2B) (Goodridge and Taube, 1997; Winter et al., 2015). Thus, it is important to identify when the ATN is formed in utero in order to make inferences about how the timing of exposure might affect the function of this component of the brain's spatial processing system.

5.2. General overview of ATN development

Coinciding with the rat's equivalent second-trimester (G11-G20), the LD is the first anterior thalamic nuclei to go through neurogenesis starting at G14, peaking on G15, and finishing at G16, followed by AD, AM, AV starting at ~G15 and finishing at ~G17 (Bayer et al., 1993) (Fig. 5). In humans, it is estimated that ATN neurogenesis extends from the 6th through the 8th week of development.

Following birth, the HD signal is expressed prior to other spatial representations. Though directionally unstable, HD cells in rats have been recorded in the postsubiculum as early as P11 (Bjerknes et al., 2015) and in AD as early as P12 (Tan et al., 2015) (Fig. 3E). Note that the HD signal comes online ~3–4 days before rat pups first open their eyes, and is thus supported only by self-motion and textural or tactile sensory cues such as the geometric contours of the environment (Taube, 2007). It is not until pups open their eyes and start receiving visual landmark cue control that HD cells become directionally stable at around P16 (Bjerknes et al., 2015; Tan et al., 2015). There is also recent evidence that HD cells can become directionally stable in rat pups around P13-P14 in smaller environments rich with geometric and textural cues, which suggests that HD cells are internally consistent or organized before visual cues are present (Bassett et al., 2018).

5.3. ATN cellular changes after developmental alcohol exposure

While much is known about hippocampal cellular changes following developmental alcohol exposure, fewer studies have examined the ATN. Granato et al. (1995) investigated the thalamo-cortical-loop following prenatal alcohol exposure in rats and found that cell counts from thalamic nuclei were equivalent between groups. A following study by Ikonomidou (2000) investigated postnatal alcohol exposure's effect on

cell death in rats and found that, among several other affected regions, the laterodorsal and mediodorsal thalamus showed increased cell death. Farber et al. (2010) exposed macaques to third-trimester alcohol exposure and also found a large increase in cell death in the ATN. Lastly, Wozniak et al. (2004) exposed rats to a single day of third-trimester alcohol exposure and found severe damage in the ATN with the greatest damage in the AD which showed a 60% reduction of volume at P14, P30, and P90. Together, it can be concluded that developmental alcohol exposure negatively affects the ATN on a cellular level, but these deficits have not been associated with behavioral performance in spatial tasks (Hall et al., 1994; Reyes et al., 1989).

5.4. Neuroimaging and thalamic function after developmental alcohol exposure

Structural differences in the form of volumetric changes have also been found in humans following prenatal alcohol exposure. Using volumetric MRI on adolescents (5–15 years old) with FASD, Treit et al. (2013) found that, among other affected regions, thalamic volume was decreased by 14% compared with controls. Other similar studies have found a similar reduction in thalamic volume in children diagnosed with FASD (Nardelli et al., 2011; Roussotte et al., 2012). It is currently unknown if structural/volumetric difference in the ATN exists in adult humans with FASD. Thus, future studies are needed in order to better track structural/volumetric differences over a lifetime.

The thalamus has also been of interest in studies examining functional connectivity and functional activation using fMRI imaging of subjects exposed to alcohol prenatally. Male rats prenatally exposed to a moderate dose of alcohol (~60–80 mg/dl) displayed reduced functional connectivity in the thalamus, whereas females displayed increased functional connectivity in the thalamus (Rodríguez et al., 2016b). Similarly, Woods et al. (2018) found that FASD in human males was associated with increased path length and latency to the goal in a virtual water maze task and also had reduced activation in the thalamus, while females with FASD were unaffected. Together, there is a consistent finding that thalamic functional connectivity and thalamic activation is diminished following prenatal alcohol exposure, with males affected to a greater extent.

5.5. The anterior thalamic head direction cell signal and anterior thalamic-dependent spatial behavior after developmental alcohol exposure

Whether neural activity in the ATN, or more specifically HD cell activity, is altered following developmental alcohol exposure is currently unknown. However, given that developmental alcohol exposure has been associated with increases in ATN cell death, reductions in thalamic activation and functional connectivity as measured by fMRI, it seems likely that ATN HD cell signaling might also be affected. A large body of previous work has determined that the preferred direction of ATN HD cells (the direction of maximal firing) can be controlled by environmental landmarks, but also by cues associated with an animal's self-motion such as vestibular cues, optic flow, and proprioception (Clark and Taube, 2012; Taube, 2007). Deficits in the landmark control of HD cells, and modulation of HD cell firing by self-motion cues, has been associated with impairments in accurate navigation (e.g., Butler et al., 2017; Clark and Taube, 2009; Clark et al., 2013; Yoder and Taube, 2011). Thus, future research should be directed at determining the impact of developmental alcohol on the sensory control of ATN HD cells.

A number of behavioral tasks that are dependent on intact ATN have been used to assess the impact of prenatal alcohol exposure (Fig. 1). For instance, radial arm maze performance has been hypothesized to be guided by the HD signal, which may be utilized in discriminating between the different directional orientations of the maze arms (Aggleton et al., 1996; Alexinsky, 2001; Dudchenko and Taube, 1997; Harvey et al., 2017; Mair et al., 2003; Mitchell and Dalrymple-Alford, 2005,

2006). For example, [Dudchenko and Taube \(1997\)](#) recorded HD cells from the ATN while rats completed a radial arm maze and found that rats were impaired at completing the task when HD cell populations became un-anchored with the room cues. This finding indicates that the HD signal is necessary for accurate radial maze navigation. Similarly, inactivation of the ATN in a radial arm maze impairs performance despite extensive training with the task and the environment which further implicates the involvement of HD cells in spatial behavior ([Harvey et al., 2017](#)). Further, other work has similarly demonstrated the ATN and HD signal's involvement with radial maze performance ([Aggleton et al., 1996](#); [Alexinsky, 2001](#); [Mair et al., 2003](#); [Mitchell and Dalrymple-Alford, 2005, 2006](#)). Provided that developmental alcohol produces impairments radial arm maze performance, deficits in HD cell signaling might also be detected during task performance.

6. Entorhinal cortex development and developmental alcohol

The entorhinal cortex is a multi-layered structure within the greater hippocampal formation which projects to, and receives major connections from, the hippocampus proper (CA1-CA3, dentate gyrus) ([Fig. 2A, E](#)). The entorhinal cortex can be subdivided into medial and lateral zones on the basis of cytoarchitectural differences and connectivity differences ([Witter et al., 2017](#)). While the lateral entorhinal cortex (LEC) has been associated with the processing of local environmental and object-related features ([Connor and Knierim, 2017](#)), the medial entorhinal cortex (MEC) is thought to have a central role in spatial processing ([Hardcastle et al., 2017](#)). Notably, the MEC has been found to contain grid cells which fire in a grid formation covering the environment ([Hafting et al., 2005](#)) ([Fig. 3C](#)), border cells which fire in proximity to environmental boundaries ([Solstad et al., 2008](#)) ([Fig. 3D](#)), head direction cells ([Giocomo et al., 2014](#)) ([Fig. 3B](#)), as well as neurons that have firing correlates with a conjunctive of position, direction, and velocity ([Sargolini et al., 2006](#)). Other than rodents, evidence of MEC grid-like cells ([Jacobs et al., 2013](#)) and other grid-like signals ([Doeller et al., 2010](#); [Kim and Maguire, 2018](#)) have been discovered in humans.

One function of the MEC and grid cells might be to perform the essential underlying computations to support path integration ([Fiete et al., 2008](#); [Gil et al., 2018](#); [Hafting et al., 2005](#); [McNaughton et al., 2006](#)), which involves the use of idiothetic cues (internal linear and angular cues generated by the animal's movements encoded by vestibular cues, somatosensory cues, sensory flow, or efference copy of movement commands) to calculate the current position of the animal in relation to its start location. In practice, path integration allows animals to plot their trajectory back to where it initiated, make direct trajectories back to the start of the trajectory (path integration or dead reckoning), and plan direct novel trajectories through unexplored regions of the environment in order to effectively reach goal locations (vector-based navigation) ([Bush et al., 2015](#); [Erdem and Hasselmo, 2012](#); [Fiete et al., 2008](#)). Interestingly, researchers have recently trained artificial recurrent neural networks to perform path integration ([Banino et al., 2018](#)). Over the course of training, the network independently developed representations that strikingly resembled MEC grid cells which then allowed the artificial agent to effectively navigate and create shortcuts to goal locations in its virtual environment.

Border cells, which fire when an animal is close to one or multiple environmental boundaries such as walls of a recording box ([Fig. 3D](#)), are found within all layers of the MEC as well as within the adjacent parasubiculum ([Solstad et al., 2008](#)). In a naturalistic sense, environments are not continuous open spaces but are usually divided into smaller areas with boundaries such as walls, rivers, mountain ranges, and other natural or unnatural boundaries. Border cells are thought to aid in the coding of these boundaries and might also function as a reference frame to support other spatially selective cells such as place and grid cells.

As noted above, the LEC is frequently linked to the processing of features within the environment such as object-related information

([Connor and Knierim, 2017](#)). However, recent work suggests that both the LEC and MEC contain neurons that fire in relation to the animal's body orientation to environmental objects ([Høydal et al., 2019](#); [Wang et al., 2018](#)). [Høydal et al. \(2019\)](#) reported that MEC neurons establish spiking patterns that resemble vectors to objects. In other words, these neurons are tuned to the animal's direction and distance relative to objects. Lastly, recent research by [Tsao et al. \(2018\)](#) has discovered LEC cells that demonstrate a unique temporal signal that encodes time across multiple scales from seconds to hours and across different environmental contexts. These temporal signals have been hypothesized to feed into the hippocampus as part of a greater what-when-where representation of experience ([Tsao et al., 2018](#)).

6.1. General overview of entorhinal development

The multi-layered entorhinal cortex develops with a deep-to-superficial and a lateral-to-medial gradient with neurons in layer V-VI generated mostly on G15, layers II and IV forming mostly on G15-16, and layer III mostly forming on G17 ([Bayer, 1980a](#); [Bayer et al., 1993](#)) ([Fig. 5](#)). Neurogenesis in the human entorhinal cortex is estimated to occur between the 5th through 9th week after fertilization.

MEC border cells appear adult-like on P16 ([Bjerknes et al., 2014](#)). However, more research is needed to determine the development of MEC border cells as the youngest rat used in the only developmental MEC border cell study was 16 days old. Border cells may very well emerge before P16 as younger rat pup have already developed HD and place cells as discussed above.

One of the last spatial representations to emerge is the grid cell ([Fig. 3E](#)). Adult-like grid cells appear around P20 and obtain near adult levels of spatial stability by P22 ([Wills et al., 2010](#)). It is hypothesized that the emergence of the grid signal is dependent on stable velocity inputs derived from a combination of vestibular, optic flow, motor efference, and proprioceptive cues ([Widloski and Fiete, 2014](#)). Thus, once these self-motion signals mature, their coordination can help produce a stable grid signal.

6.2. Entorhinal cellular changes after developmental alcohol exposure

Like the ATN, there are few studies that examine the entorhinal cortex following developmental alcohol exposure. [Angelucci et al. \(1998\)](#) exposed mice to prenatal alcohol (3.95 g/kg) from G8-G14 and found altered entorhinal cortex neuronal growth and differentiation. [Museridze and Gegenava \(2010\)](#) similarly found a decrease in entorhinal cortex total neuron count at P3, P7, P15, P21, and P30 following alcohol exposure (15% v/v alcohol solution) throughout pregnancy and lactation. Together, it can be concluded that developmental alcohol exposure does indeed negatively affect neuron development in the entorhinal cortex.

6.3. Entorhinal plasticity after developmental alcohol exposure

The entorhinal cortex is the major source for the cortical information necessary for the hippocampus to carry out its functions. Considerable evidence indicates that moderate prenatal alcohol exposure impairs LTP between the entorhinal perforant pathway and DG ([Galindo et al., 2004](#); [Savage et al., 2010](#); [Sutherland et al., 1997](#); [Varaschin et al., 2010, 2014](#)). However, the impact of developmental alcohol and propensity for experience-dependent synaptic plasticity within the entorhinal cortex has not been examined.

6.4. Neuroimaging and entorhinal function after developmental alcohol exposure

In human adults, [Coles et al. \(2011\)](#) found that while hippocampal volumes were reduced, entorhinal cortex volumes were largely unaffected by prenatal alcohol exposure. [Golub et al., 2015](#)) examined

children (~10 years old) with heavy prenatal alcohol and found a decreased fMRI measured activation in the left medial temporal lobe which contains the entorhinal cortex. Collectively, these findings indicate volumetric and functional impairment following developmental alcohol exposure in humans, however, more research is needed to confirm and expand on these findings.

6.5. Entorhinal spatial representations and entorhinal-dependent spatial behavior after developmental alcohol exposure

There are currently no studies that have examined entorhinal spatial representations such as grid, border, head direction, and other conjunctive spatial cell types following developmental alcohol exposure. Given that developmental alcohol exposure has been associated with altered entorhinal neuronal growth, differentiation, decreased cell counts, decreased functional activation, and impaired entorhinal-to-DG plasticity, it is possible that disruptions to entorhinal neural activity may also be observed after developmental alcohol exposure. The entorhinal cortex serves as the largest input/output relationship with the hippocampus (Fig. 2B) (Witter et al., 2017). Thus, morphological changes in hippocampal circuitry after developmental alcohol exposure are likely to have an impact on entorhinal function. Indeed, previous work has shown that reductions in hippocampal neural activity can alter entorhinal grid cell firing (Bonnievie et al., 2013). In addition, the ATN provides an important input into the parahippocampal region (Fig. 2B) (Nassar et al., 2018; Winter et al., 2015), and alcohol induced alterations to the ATN region may also influence entorhinal function. Supporting this possibility are the findings that reductions in ATN neural activity produce considerable disruptions to entorhinal grid cell and HD signaling (Winter et al., 2015). Postnatal binge-like alcohol exposure can also dysregulate GABA receptors (Hsiao et al., 1998) and prenatal exposure can reduce neuron counts in the medial septum (Moore et al., 1997), which serves an important input for normal grid cell functioning (Brandon et al., 2011; Koenig et al., 2011). Reductions in septal interneurons, which form large projections to the entorhinal cortex (Fuchs et al., 2016), have been reported after prenatal alcohol exposure (Moore et al., 1997). Because septal inputs are thought to influence the entrainment of entorhinal neurons to theta rhythm (Brandon et al., 2011), investigation into whether oscillatory activity in the entorhinal cortex is disrupted after prenatal alcohol exposure is particularly warranted. Additionally, the finding that sharp wave ripple duration is increased after ethanol exposure points to possible oscillatory dysfunction in the entorhinal cortex or dentate gyrus as these regions have been shown to influence the timing of sharp wave ripples in the hippocampus (Sullivan et al., 2011). Cross-frequency coupling measures, such as theta-gamma phase amplitude coupling measured in hippocampal region CA1 may also be helpful in elucidating the consequences of alcohol exposure on entorhinal cortex function (Colgin et al., 2009; Fernández-Ruiz et al., 2017; Oliva et al., 2018).

Our summary above indicates that developmental alcohol exposure can impair performance on spatial tasks often associated with entorhinal function (see Fig. 1). For instance, previous work has reported that damage to the entorhinal cortex can produce spatial memory deficits in the MWT and deficits in tasks involving processing object-spatial relationships (Burwell, 2004; Steffenach et al., 2005; Van Cauter et al., 2013). Moderate prenatal alcohol exposure can produce acquisition impairments in an object-place paired associate task (Sanchez et al., 2019), pointing to the possibility that conjunctive coding of object and spatial information might be disrupted in the entorhinal cortex. Patten et al. (2016) reported impairments after moderate prenatal alcohol exposure on a discrimination task that requires retaining the temporal relationship between sequential exposures to objects. Although this task has been linked to CA3 processing, the discrimination between objects and their temporal relationship may also require entorhinal processing. Lastly, it is generally theorized that the entorhinal cortex, particularly grid cells in the MEC, play a critical role in accurate

path integration, and damage to the MEC produces impairments in tasks in which animals are required to navigate to spatial locations on the basis of idiothetic cues (Gil et al., 2018; Van Cauter et al., 2013). To date, no study has examined whether path integration is impaired following developmental alcohol and should be considered in future studies.

7. Attenuating the effects of developmental alcohol exposure on spatial processing

The development of treatments targeting spatial memory impairments after developmental alcohol exposure has not been thoroughly investigated. However, a number of interventions have been explored with respect to their impact on hippocampal processing which may ultimately have a significant impact on spatial behavior. Non-pharmacological interventions such as behavioral training and environmental enrichment can influence hippocampal synaptic plasticity and spatial learning and memory (Christie et al., 2005; Hall et al., 1994; Hannigan et al., 2007; Kleiber et al., 2011; Lee and Rabe, 1999; Wozniak et al., 2004) and exercise (Christie et al., 2005). For example, Christie et al. (2005) found that LTP and Morris water maze deficits were rescued in rats with prenatal alcohol exposure following access to a running wheel for only 12 days. Consistent with this observation, many of the behavioral studies reviewed above show that rodents with developmental alcohol exposure achieve control-like spatial performance with only a small amount of extra training (a few more trials or days of training).

Dietary supplementation may also provide benefits to the neurobiological basis of spatial processing. For instance, supplementation of N-acetylcysteine from P23 to P60 was able to completely restore DG LTP in rats with prenatal alcohol exposure (Patten et al., 2013b). Several studies report that choline supplementation also attenuates spatial learning deficits observed following developmental alcohol exposure (Idrus and Thomas, 2011; Monk et al., 2012; Otero et al., 2012; Ryan et al., 2008; Schneider and Thomas, 2016; Thomas, 2004; Thomas et al., 2000, 2007, 2010), possibly through alterations in hippocampal miRNA and gene expression (Balaraman et al., 2017). Lastly, administration of a histamine receptor antagonist (ABT-239), which is thought to improve glutamate and acetylcholine signaling, has been shown to improve spatial memory as well as DG LTP (Savage et al., 2010; Varaschin et al., 2010). Thus, pharmacological manipulation of histamine neurotransmission may serve as a potential therapeutic target for hippocampal-dependent spatial deficits (Davies et al., 2019).

Together, be it through behavioral training, environmental enrichment, exercise, or pharmacological therapies, there is some evidence suggesting that spatial deficits and hippocampal-dependent processing after prenatal alcohol exposure can be ameliorated. However, whether the interventions described above have a similar influence on other neural systems such as the anterior thalamus and entorhinal cortex, is currently unknown and should be a focus of future investigation. Finally, it is important to point out that while our review has centered on behavioral and neural systems-level changes accompanying developmental alcohol exposure, it will be important for future studies to develop a better understanding of changes at molecular and receptor level within this circuitry. A better understanding of how interventions might influence a broad network of neural systems involved in spatial behavior, and at multiple levels of analysis, will be critical toward the development of circuit-specific and targeted interventions.

8. Conclusion

A consistent behavioral consequence of developmental alcohol exposure has been the reported impairments in spatial behavior (Fig. 1). A central aim of the present review was to explore the hypothesis that damage to a combination of hippocampal and limbic-thalamic regions is likely responsible for these deficits in spatial processing. In summary, developmental alcohol exposure causes detrimental effects, be it cell

loss, structural changes, changes in neural activity, a loss in synaptic plastic, or a combination of changes to the hippocampus, thalamic, and entorhinal cortex, but in a clear dose-dependent manner. Although the various alcohol administration paradigms and diverse dosing periods have made the synthesis of these studies challenging, the findings are striking in that developmental alcohol exposure has a clear regional, dose, and timing effect on the neurobiology of spatial processing.

A major aim of the present review was to identify gaps in the literature and highlight avenues of new research. Thus, elucidating whether spatial signals represented within hippocampal, thalamic and entorhinal circuitry are altered after developmental alcohol exposure will be of critical importance in future studies. In addition, it will be critical that future work be committed to investigating the impact of developmental alcohol exposure on a broad range of hippocampal-thalamic-entorhinal dependent behavioral tasks. The range of behavioral assessments known to be dependent on the spatial processing circuitry described in this review should be systematically evaluated in future work. Because spatial processing depends on the coordinated activity between many different areas, it is critical to examine other structures in order to create a complete picture of the effects of developmental alcohol exposure.

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